

1653

SEP 09 2002

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

In Re Application

Ronald Buelow, et al.

Docket No.
14750

Serial No.

09/921,819

Filing Date

August 3, 2001

Examiner

Unassigned

Group Art Unit

1653

Title: **PRODUCTION OF HUMANIZED ANTIBODIES IN TRANSGENIC ANIMALS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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PRODUCTION OF HUMANIZED ANTIBODIES IN TRANSGENIC ANIMALS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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I certify that this document and fee is being deposited
September 6, 2002 with the U.S. Postal Service
as first class mail under 37 C.F.R. 1.8 and is
addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.

Janet Grossman

Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Peter I. Bernstein

Signature

Dated: September 6, 2002

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(516) 742-4343

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTS

Applicant(s): Ronald Buelow, et al.

Examiner: Unassigned

Serial No: 09/921,819

Art Unit: 1653

Filed: August 3, 2001

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For: PRODUCTION OF HUMANIZED
ANTIBODIES IN TRANSGENIC
ANIMALS

Dated: September 6, 2002

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United States Patent Publication No. US 2002/0028488A1, dated March 7, 2002 issued to Singh, et al.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on September 6, 2002.

Dated: September 6, 2002

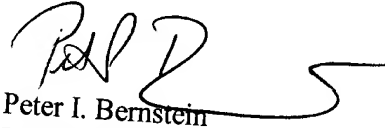
Janet Grossman
Janet Grossman

Applicants are submitting a copy of the above-cited reference.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present application as defined under 37 C.F.R. §1.56.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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